

A. COMPLAINANT DETAILS

ALL FIELDS MANDATORY

INVESTOR NAME	
FOLIO / CLIENT CODE	PAN
EMAIL ADDRESS	MOBILE NUMBER
CORRESPONDENCE ADDRESS	

B. SEGMENT TO WHICH THE COMPLAINT RELATES

TICK ONE

☐ Mutual Fund	☐ Specialised Investment Fund
☐ Portfolio Management Services	☐ Alternative Investment Fund

C. COMPLAINT DETAILS

DATE OF OCCURRENCE DD / MM / YYYY	DATE OF SUBMISSION DD / MM / YYYY
SCHEME / PRODUCT CONCERNED	AMC / ISSUER NAME
TRANSACTION / REFERENCE NO.	AMOUNT INVOLVED (INR)

D. NATURE OF COMPLAINT

TICK ALL THAT APPLY

☐ Transaction not executed	☐ Transaction delayed	☐ Incorrect scheme or amount
☐ Redemption or payout not received	☐ Account statement not received	☐ KYC or folio updation
☐ Mis-selling or unsuitable scheme	☐ Commission, charges or fees	☐ Conduct or service of staff
☐ Personal data or privacy (DPDPA)	☐ Nomination not recorded	☐ Other — state in Section E
IF OTHER, PLEASE SPECIFY		

E. DESCRIPTION OF COMPLAINT

STATE THE FACTS IN SEQUENCE

F. DOCUMENTS ATTACHED

TICK ALL THAT APPLY

- | | |
|---|--|
| ☐ Transaction slip | ☐ Screenshot |
| ☐ Email correspondence | ☐ Account statement |
| ☐ AMC / issuer letter | ☐ Other (specify below) |

G. DECLARATION & CONSENT

I confirm that the information furnished above is true, complete and correct to the best of my knowledge and belief.

I consent to Sanriya Finvest Private Limited sharing the details of this complaint, and the documents given with it, with the concerned Asset Management Company, its Registrar and Transfer Agent and the transaction platform, to the extent needed to resolve the matter.

I note that this complaint will be acknowledged within **3 working days** and resolved within **15 working days**.

INVESTOR SIGNATURE

DATE

DD / MM / YYYY

FOR OFFICE USE ONLY

COMPLAINT REF. NO. RECEIVED ON MODE OF RECEIPT ACKNOWLEDGED ON RESOLVED / CLOSED ON

RECORDED IN THE COMPLAINTS REGISTER BY

GRIEVANCE REDRESSAL OFFICER

Mr. Santosh Kedari, Director

SUBMIT

Submit this form at Kamdhenu, 2nd Floor, Opp. Prakash (MSEB) Bhavan, Next to Bank of Baroda, Senapati Bapat Road, Shivajinagar, Pune 411016, e-mail it to services@sanriya.net, or telephone +91 955 253 7626.

ESCALATE

Retain a copy of this form and the acknowledgement for your records. If you are not satisfied with our response, you may approach the concerned Asset Management Company or its Registrar, then SEBI SCORES at <https://scores.sebi.gov.in/>, and thereafter the SEBI SMART ODR portal at <https://smartodr.in/>.

**Sanriya Finvest Pvt. Ltd.**

Kamdhenu, 2nd Floor, Opp. Prakash (MSEB) Bhavan,
Next to Bank of Baroda, Near Chattushringi Temple,
Senapati Bapat Road, Shivajinagar, Pune 411016

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AMFI REGISTERED MUTUAL FUND &
SPECIALISED INVESTMENT FUND DISTRIBUTOR

ARN — 193359 | APRN — 01056